



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Songtao Shi et al.

Title: POSTNATAL STEM CELLS AND USES THEREOF

Docket No.: 1662.012US1

Serial No.: 10/553,633

Filed: October 18, 2005

Due Date: October 4, 2006

Examiner: Unknown

Group Art Unit: Unknown

Mail Stop Missing Requirements

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$50.00 to cover the Basic Filing Fee and Additional Claims Fee.
- A check in the amount of \$120.00 to cover the Extension of Time Fee.
- Petition for Extension of Time (1 pg.).
- Communication Re: Missing Requirements (1 pg.).
- A signed Combined Declaration and Power of Attorney (6 pgs.).
- Notice to File Missing Requirements (2 pgs.).

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By: /

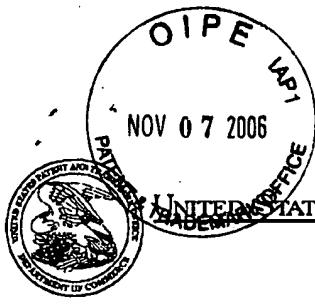
Name: Robin A. Chadwick

Reg. No. 36,477

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7 day of November, 2006

Lynnea M. Fedyk  
Name

John Z. S.  
Signature



PCT\$

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,633	Songtao Shi	1662.012US1
INTERNATIONAL APPLICATION NO.		
PCT/US03/12276		
I.A. FILING DATE		PRIORITY DATE
04/19/2003		
CONFIRMATION NO. 4649		
371 FORMALITIES LETTER		
*OC000000019890384*		

Date Mailed: 08/04/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/19/2005
- Copy of the International Search Report filed on 10/19/2005
- Preliminary Amendments filed on 10/19/2005
- Information Disclosure Statements filed on 10/19/2005
- Biochemical Sequence Diskette filed on 10/19/2005
- Oath or Declaration filed on 10/19/2005
- Biochemical Sequence Listing filed on 10/19/2005
- Request for Immediate Examination filed on 10/19/2005
- Copy of references cited in ISR filed on 10/19/2005
- U.S. Basic National Fees filed on 10/19/2005
- Specification filed on 10/19/2005
- Claims filed on 10/19/2005
- Abstracts filed on 10/19/2005
- Drawings filed on 10/19/2005

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AUG 10 2006

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$50 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application

Schwegman, Lundberg,  
Woessner & Kluth, P.A.

MRTA

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by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$50** for a Large Entity:

- Total additional claim fee(s) for this application is **\$ 50**
  - **\$50** for 24 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

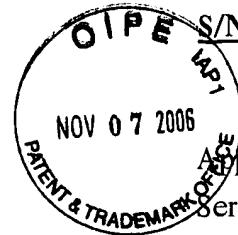
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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/553,633	PCT/US03/12276	1662.012US1

FORM PCT/DO/EO/905 (371 Formalities Notice)



S/N 10/553,633

PATENT

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Examiner: Unknown  
Group Art Unit: Unknown  
Docket: 1662.012US1  
Confirmation No.: 4649

**COMMUNICATION RE: MISSING REQUIREMENTS**

Mail Stop Missing Requirements  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Requirements" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, and a check in the amount of \$50.00 to cover the large entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at .

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,  
Songtao Shi et al.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

*Robin A. Chadwick*

By

Robin A. Chadwick  
Reg. No. 36,477

Date November 3, 2006

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this day of November, 2006

*Lynne M. Leyk*  
Name

Signature

*Jon H. J.*